

IOWA CLIENT SECURITY COMMISSION

ATTORNEY INFORMATION

Attorney Name _____

[The below questions do not include family matters. Include an addendum if necessary.]

1. Are you an executor or co-executor at the present time? YES NO

If yes, list the name of each decedent _____

2. Are you a trustee or co-trustee at the present time? YES NO

If yes, list the name of each trust _____

3. Are you serving as an attorney-in-fact under a Power of Attorney at the present time?

YES NO

If yes, what types of entities (corporate, individual, etc.) are you in charge of and what duties do you perform for each? Do you handle any funds? _____

4. Are you a conservator or co-conservator at the present time? YES NO

If yes, list the name of each person for whom you are serving as conservator _____

5. Are you a guardian or co-guardian at the present time? YES NO

If yes, list the name of each person for whom you are serving as guardian _____

6. Are you holding any client property such as cash, stock certificates, guns, jewelry or other personal property? (Excluding wills, abstracts or funds that are in the trust account for the client) YES NO

If yes, explain in detail what is held and for which client _____

7. Have you ever borrowed, directly or indirectly, from a client, at any time, other than a bank, credit union or savings and loan? YES NO

If yes, from whom, date & amount _____

8. Are you delinquent on any probate matters at the present time? YES NO

If yes, provide estate name _____

9. Are any complaints currently pending against you before the Attorney Disciplinary Board, the Client Security Commission or the Grievance Commission? YES NO

If yes, explain the nature of the complaint _____

10. Are you aware of anything unusual in your law practice? Loss of funds, theft of funds, unusual withdrawals, negative client balances or differences between the reconciliations in the trust account? Please explain in detail. _____

11. Has your successor attorney designation changed since the last Client Security report? _____

If yes, who is the current successor attorney, firm or entity? _____

Date: _____

Signature of Attorney

Printed Name