

IOWA CLIENT SECURITY COMMISSION

ATTORNEY INFORMATION

Attorney Name .....

***[The below questions do not include family matters. Include an addendum if necessary.]***

1. Are you an executor or co-executor at the present time? YES  NO

If yes, list the name of each decedent \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2. Are you a trustee or co-trustee at the present time? YES  NO

If yes, list the name of each trust \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Are you serving as an attorney-in-fact under a Power of Attorney at the present time?

YES  NO

If yes, what types of entities (corporate, individual, etc.) are you in charge of and what duties do you perform for each? Do you handle any funds? \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. Are you a conservator or co-conservator at the present time? YES  NO

If yes, list the name of each person for whom you are serving as conservator \_\_\_\_\_  
\_\_\_\_\_

5. Are you a guardian or co-guardian at the present time? YES  NO

If yes, list the name of each person for whom you are serving as guardian \_\_\_\_\_  
\_\_\_\_\_

6. Are you holding any client property such as cash, stock certificates, guns, jewelry or other personal property? (Excluding wills, abstracts or funds that are in the trust account for the client) YES  NO

If yes, explain in detail what is held and for which client \_\_\_\_\_  
\_\_\_\_\_

7. Have you ever borrowed, directly or indirectly, from a client, at any time, other than a bank, credit union or savings and loan? YES  NO

If yes, from whom, date & amount \_\_\_\_\_

8. Are you delinquent on any probate matters at the present time? YES  NO

If yes, provide estate name \_\_\_\_\_  
\_\_\_\_\_

9. Are any complaints currently pending against you before the Attorney Disciplinary Board, the Client Security Commission or the Grievance Commission? YES  NO

If yes, explain the nature of the complaint \_\_\_\_\_  
\_\_\_\_\_

10. Are you aware of anything unusual in your law practice? Loss of funds, theft of funds, unusual withdrawals, negative client balances or differences between the reconciliations in the trust account? Please explain in detail. \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

11. Has your successor attorney designation changed since the last Client Security report? \_\_\_\_\_

If yes, who is the current successor attorney, firm or entity? \_\_\_\_\_  
\_\_\_\_\_

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Attorney

\_\_\_\_\_  
Printed Name