

IOWA CLIENT SECURITY COMMISSION

FIDUCIARY MATTER INFORMATION

Check here and initial if this form is not applicable

Please fill out a separate form for each fiduciary matter. Attach addendum if necessary.

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Type of Fiduciary:  Conservator  Guardian  Trustee  Executor  Receiver

Name of Matter: \_\_\_\_\_ Probate No.: \_\_\_\_\_

County: \_\_\_\_\_

Name of Attorney Responsible for Matter: \_\_\_\_\_

Date of Appointment: \_\_\_\_\_

Bond information regarding this matter:

Amount: \$ \_\_\_\_\_

Bonding Company and Bond No.: \_\_\_\_\_

Bond term, premium, and date paid: \_\_\_\_\_

\* If this is a court-supervised matter but there is no bond, what exception to Rule 39.13 applies?

\_\_\_\_\_

Bank account relevant to the matter:

Name of Account: \_\_\_\_\_

Name of Bank: \_\_\_\_\_

Balance as of \_\_\_\_ / \_\_\_\_ / \_\_\_\_ = \$ \_\_\_\_\_  
(date)

Have taxes been filed?  Yes  No  N/A

If yes, are taxes up-to-date?  Yes  No

If no, please provide a brief explanation: \_\_\_\_\_

For each matter, check the box and attach copies of all that apply:

- Court appointment order;
- Bond;
- Most recent Annual Report;
- Court order approving fees; and
- Most recent monthly statement of investments.

Date: \_\_\_\_\_

Signature of Attorney: \_\_\_\_\_